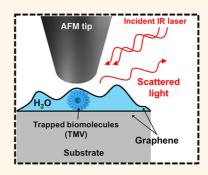
Graphene-Based Platform for Infrared Near-Field Nanospectroscopy of Water and Biological Materials in an Aqueous Environment

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ABSTRACT Scattering scanning near-field optical microscopy (s-SNOM) has emerged as a powerful nanoscale spectroscopic tool capable of characterizing individual biomacromolecules and molecular materials. However, applications of scattering-based near-field techniques in the infrared (IR) to native biosystems still await a solution of how to implement the required aqueous environment. In this work, we demonstrate an IR-compatible liquid cell architecture that enables near-field imaging and nanospectroscopy by taking advantage of the unique properties of graphene. Large-area graphene acts as an impermeable monolayer barrier that allows for nano-IR inspection of underlying molecular materials in liquid. Here, we use s-SNOM to investigate the tobacco mosaic virus (TMV) in water underneath graphene. We resolve individual virus particles and register the amide I and II bands of TMV



at *ca*. 1520 and 1660 cm⁻¹, respectively, using nanoscale Fourier transform infrared spectroscopy (nano-FTIR). We verify the presence of water in the graphene liquid cell by identifying a spectral feature associated with water absorption at 1610 cm⁻¹.

KEYWORDS: infrared nanospectroscopy · s-SNOM · near-field · nanoimaging · water · biomaterials

n biological and life sciences, Fourier transform infrared (FTIR) spectroscopy serves as a ubiquitous noninvasive probe of vibrational fingerprints used to identify chemical compounds and molecular species.¹ This information is the basis for nonperturbative and label-free analysis of cell functionality.² For example, small changes in frequencies and line shapes of IR absorption bands due to specific proteins or protein conformations can be used to characterize cells and tissues linked to diseases such as Alzheimer's³ and cancer.^{1,4} Detailed databases document molecular absorption bands of biologically relevant systems,¹ whereas elaborate data processing schemes strive to minimize confounding effects associated with the exploration of realistic biological materials.² Despite the widespread use of

FTIR spectroscopy and microscopy in biological sciences, these experimental methods suffer from a number of fundamental shortcomings. With a typical IR absorption coefficient for molecules of *ca*. 1000 cm^{-1} , aggregates of $\sim 1 \ \mu m$ depth are needed to assess the spectral features of biological systems.⁵ Further, in vivo studies of these systems require characterization in an aqueous environment. However, the strong absorption from the vibrational and rotational modes of liquid water presents a large undesirable background, alleviated in some cases by substitution of deuterium oxide and/or specially prepared buffers.⁶ Lastly, the long wavelength of IR light represents a limit to spatial resolution imposed by diffraction and thus does not allow for characterization of any subcellular components individually.

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Scattering scanning near-field optical microscopy (s-SNOM) allows for the collection of IR spectra from pixels as small as $10 \times 10 \text{ nm}^2$, irrespective of the infrared wavelength involved.⁷ In an s-SNOM apparatus, the diffraction limit is circumvented by combining the use of an atomic force microscope (AFM) with IR lasers enabling near-field imaging.^{8–10} Incident light illuminates the sharp conducting tip in the vicinity of a sample. Scattered light from the coupled tip-sample system is detected in the far field and carries dielectric information from the local near-field interaction. The incident field is highly confined to the tip apex, resulting in an IR or optical probe with spatial resolution limited only by the tip diameter and not by the wavelength. Coupling monochromatic light to s-SNOM allows acquisition of single-frequency near-field images, while use of a broadband coherent source¹¹ enables nanoscale Fourier-transform IR spectroscopy (nano-FTIR).12-14

Various interferometric detection schemes can be employed to extract both amplitude and phase of the near-field scattered signal.^{15,16} A schematic of the typical s-SNOM experimental setup is shown in Figure 1a. IR nanoimaging¹⁷ and nanospectroscopy¹⁸ have demonstrated the ability to resolve individual subcellular biological components and chemically identify specific proteins.¹⁹ However, measurement in liquid water is a precondition for studying living systems. As the IR absorption of water is strong, a general applicability of IR s-SNOM and nano-FTIR spectroscopy in biology necessitates novel means to provide the required physiological conditions. Another complication of nano-IR spectroscopy in aqueous environments stems from the difficulties associated with the dynamics of tapping-mode AFM in fluids. This latter mode is required for quantitative nano-IR measurements that rely on rejection of far-field scattering and also of various topographic artifacts based on higherharmonic demodulation protocols.⁹ However, harmonic motion of the cantilever immersed in water is difficult to implement.^{20,21}

Here we propose an IR-compatible liquid cell architecture for s-SNOM and nano-FTIR, enabling near-field imaging and spectroscopy by taking advantage of the unique properties of graphene. Recent work has demonstrated the ability to trap liquids beneath graphene,²²⁻²⁴ an atomically thin sheet of carbon atoms with remarkable mechanical, electrical, and optical properties.^{25,26} Graphene-based liquid cell architectures have already enabled nanoscale studies of biomaterials using high-resolution probes such as scanning tunneling microscopy (STM)²³ and transmission electron microscopy (TEM).²⁷ The IR transparency of graphene allows for extending the use of such cells to IR s-SNOM. In this work, we investigate the tobacco mosaic virus (TMV), a prototypical biological standard, trapped in water underneath graphene.²⁸

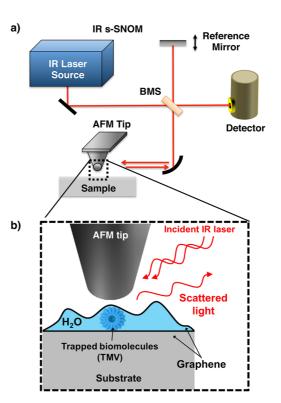


Figure 1. "Wet" s-SNOM setup with an IR-compatible graphene liquid cell. (a) Schematic of scattering-based near-field IR imaging and spectroscopy apparatus. A continuous-wave CO_2 laser is used for monochromatic nanoimaging and pseudoheterodyne detection with the reference mirror oscillating at 300 Hz. For nano-FTIR, a coherent broadband mid-IR continuum source is used in an asymmetric Michelson interferometer configuration, where one arm measures the backscattering from the AFM tip. (b) Geometry of the tip–sample interaction, sketching virus particles in water trapped beneath a large-area CVD graphene sheet.

The large-area graphene acts as an impermeable monolayer lid that allows for nano-IR interrogation of the underlying molecular materials in an aqueous environment (Figure 1b). We employ graphene liquid cells supported by either mica or SiO₂ substrates (see Materials and Methods). We resolve individual viruses through graphene and observe anticipated contrasts in near-field amplitude and phase images. Further, we register spectroscopic resonances specific to TMV encapsulated in the liquid cell. Our work paves the way for future studies using scattering-based near-field IR spectroscopy on biological systems in aqueous media.

There has been considerable development of aperture-based SNOM for the visible range, partly using liquid cells. However, these methods are based on fluorescence contrast and thus require chemical sample modification.^{29–39} IR has the advantage of requiring no label for chemical recognition, but cannot give submicrometer resolution with an aperture-based SNOM.

RESULTS AND DISCUSSION

Tobacco mosaic viruses are rod shaped virions, nominally 300 nm in length and 18 nm in diameter.

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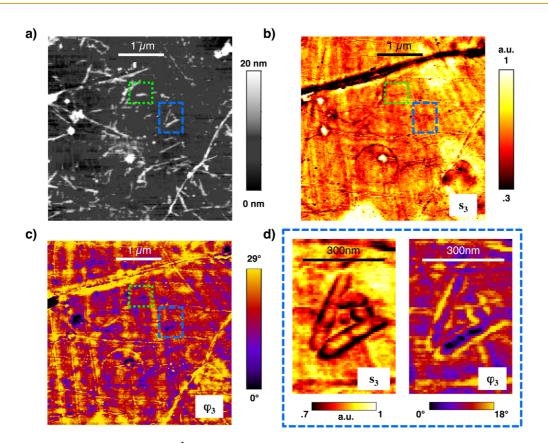


Figure 2. IR s-SNOM imaging at 890 cm⁻¹ of an aqueous solution on mica covered by a atomically thin graphene sheet: (a) AFM topography, (b) amplitude s_3 , and (c) phase ϕ_3 image of IR backscattering defined in the text and (d) high-resolution amplitude s_3 and phase ϕ_3 images of the blue dashed boxed region in (a)–(c).

In dry preparations they are identifiable from AFM topography by their height and length, but usually exhibit an apparent width of *ca*. 50 nm.^{17,40,41} Figure 2a shows the topography of our s-SNOM imaging of TMV contained with water under a graphene lid on mica. While long, thin features tentatively represent folds and wrinkles of graphene,⁴² smaller objects of different types abound. Some of them seem assignable to TMV from their shape and length, even though their height is only about 10 nm. Recent AFM studies have shown that strong attractive forces that bind graphene to the substrate indeed may deform TMV to below 10 nm height.²⁸ Similar deformation and restructuring is to be expected for other residues from the processed tobacco plant and also for water.

Shown in Figure 2b and c are the s-SNOM amplitude and phase images, respectively, acquired at 890 cm⁻¹ concurrently with the topography image in Figure 2a. Contrast in near-field images is indicative of the dielectric property of the material under the tip apex; dielectric resonances in the sample lead to significant phase ϕ_n and amplitude s_n signatures in the backscattered infrared light, where *n* is a harmonic of the tip tapping frequency (see Materials and Methods).^{43,44} Absorption is approximately equivalent to the product $s_n \sin \phi_n$, as we detail later.⁴⁵ At the specific frequency used, we expect considerable contribution to the phase signal due to absorption from mica^{46,47} and somewhat weaker absorption due to water,⁴⁸ PMMA,⁴⁵ and protein.¹ Interestingly, the IR images (Figure 2b and c) show a network of high-phase objects with no counterpart in topography (Figure 2a). These include near-circular structures with a somewhat diffuse boundary, as well as a vertical stripe pattern with approximately 100–150 nm width. These features, whose assignment requires a more extensive nano-FTIR investigation, are consistent with the presence of varying amounts of nanoconfined water, which has been shown to form filaments and conform to the templated CVD graphene morphology.²⁸

Many smaller objects show a lower IR phase than the background (which we tentatively assign to the mica substrate), with several objects revealing a topographical height of approximately 10 nm. Among these are graphene-encapsulated TMV (boxed regions in Figure 2a–c), with the width and length determined from the AFM topography, which we examine in more detail below.

High-resolution nano-IR images of apparently isolated TMV (colored boxes in Figure 2a) are shown in Figure 2d and Figure 3, with a pixel size of 10 nm and the resolution limited by the tip radius. The near-field amplitude and phase at third-harmonic demodulation are shown in the left and right panels of Figure 2d, respectively, representing the blue dashed boxed region of Figure 2a. We observe significant s-SNOM

VOL. 9 • NO. 8 • 7968-7975 • 2015 A

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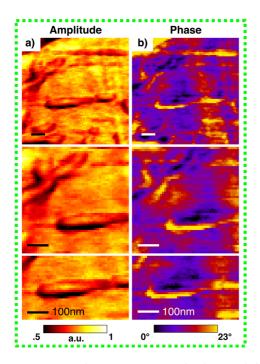


Figure 3. Repeated IR s-SNOM (a) amplitude s_3 and (b) phase ϕ_3 images of green dotted boxed region shown in Figure 2a–c. All scale bars are 100 nm.

contrast in regions with TMV. While optical contrast in the near-field scattering amplitude images can sometimes be highly correlated with the surface topography, phase shifts are less susceptible to such effects and in principle more sensitive to electronic resonances and vibrational absorptions.⁴⁵ In addition, higher-harmonic signal components are progressively less sensitive to topographic artifacts, as a consequence of the highly nonlinear tip-sample near-field interaction.⁹ Interestingly, we also observe large contrast in the immediate regions bordering TMV in both amplitude and phase, indicating a variation in either the quantity or property of the water adjacent to the encapsulated viruses. The lower phase values over the TMV suggest that the dominant contribution to the near-field phase signal originates from the mica substrate or possibly the water layer. We further discuss the origin of the nearfield contrast surrounding the TMV later.

Figure 3 shows several high-resolution s-SNOM scans for the green dotted boxed region depicted in Figure 2a–c. The remarkable reproducibility of all salient features evident in both the near-field amplitude (Figure 3a) and phase (Figure 3b) images affirms IR s-SNOM as a robust, nondestructive characterization tool for graphene-based liquid cell structures.

Using single-frequency IR nanoimaging, we have shown that in the near-field images we are able to identify individual virus particles surrounded by water through a monolayer graphene lid. Additionally, we collected nano-FTIR spectra of TMV and the local aqueous environment. For nanospectroscopy measurements, we found that mica substrates supporting

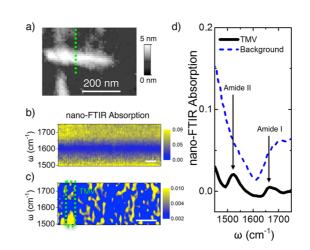


Figure 4. Nano-FTIR absorption spectra of TMV in water taken with a graphene liquid cell on a SiO₂ substrate: (a) AFM topography showing two virions; (b) spectroscopic line scan in a featureless vicinity of virions showing 100 nano-FTIR absorption spectra acquired in 60 s each at 8 cm resolution, computed from both amplitude and phase signals at second-order demodulation and normalized to Au; (c) spectroscopic line scan as in (b) but along the green dashed line crossing the virion in (a), showing 50 nano-FTIR absorption spectra after subtraction of the average background spectrum and plotted on a logarithmic color scale. All scale bars indicate a 200 nm length. (d) Average over all spectra in (b) shown as the blue dashed curve, with prominent spectral slopes assignable to water in the SiO₂supported liquid cell, leaving a minimum near 1610 cm the virion spectrum averaged over the green boxed region in (c) is shown as the black curve.

our cells possessed a vibrational mode that dominated the frequency region where both water and TMV absorb.⁴⁶ Thus, we turned to study nanospectroscopy of grapheneencapsulated viruses by introducing SiO₂ as a substrate with no intrinsic absorptions in the spectral range of interest. Figure 4a shows the AFM topography of a single virion in a graphene liquid cell on a SiO₂ substrate, where there exists an additional bottom graphene layer in the cell (see Materials and Methods). We performed a nano-FTIR line scan across the TMV, shown by the green dotted line in Figure 4a, acquiring a spectrum at each pixel in 20 nm steps. The broadband laser source for spectroscopy (see Materials and Methods) was set to the frequency range $1400-1800 \text{ cm}^{-1}$, where we expect absorption from the amide I (1660 cm^{-1}) and II (1550 cm⁻¹) bands in TMV,¹⁸ as well as from water.⁴⁹

Nano-FTIR absorption spectra for a liquid cell containing TMV are shown in Figure 4b and c, with the width of the virion indicated by the green dashed box in Figure 4c and verified by AFM topography (not shown). The near-field absorption spectrum is approximated by the imaginary part of the scattered s-SNOM signal, $Im[s_n e^{i\phi_n}] = s_n \sin \phi_n$, which has been shown to correlate well with bulk far-field absorption spectra for weak resonances.⁴⁵ Data in Figure 4b and c are normalized to a spectrally flat gold reference. The blue curve in Figure 4d represents a typical nano-FTIR spectrum of the local environment around an isolated virion, averaged over absorption spectra for the 2 μ m line

VOL.9 • NO.8 • 7968-7975 • 2015 ACS

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scan shown in Figure 4b. An important feature of the liquid cell nano-FTIR absorption spectra is a minimum in the data near 1610 cm^{-1} , whose origin we discuss below. To clearly distinguish the response of the TMV, we plot the background-corrected nano-FTIR absorption, subtracting the average response from the surrounding liquid cell in the line scan shown in Figure 4c. The black curve in Figure 4d shows the resulting nano-FTIR spectrum for TMV, averaged over the green boxed region in Figure 4c. We observe two small spectral features at 1520 and 1660 cm^{-1} , coinciding with the expected amide resonances from the virus proteins. Line scan data shown in Figure 4c are plotted on a logarithmic color scale to emphasize the spectral peaks from the TMV.

DISCUSSION

In order to elucidate the nanoimaging and spectroscopy results, it is instructive to more closely examine the microscopic tip-sample interaction specific to our cell and specimens. Contrast in s-SNOM images results from the dielectric properties of a small volume underneath the AFM tip. The evanescent fields of the probing tip can penetrate well beneath the sample surface. In practice, the depth of s-SNOM sensitivity is effectively several tens of nanometers.^{9,50,51} This means that in the case of our liquid cell the tip-sample interaction involves one or two graphene layers, biological matter in water, and the substrate. Thus, there are many sources for forming infrared near-field contrast and also contrast variations due to lateral as well as vertical inhomogeneities in individual layers, including variable amounts of trapped water. Further, unintentional doping of graphene can be nonuniform throughout a single large-area CVD sheet.⁴² During the growth process graphene becomes doped from atmospheric adsorbates or PMMA residue. Although intrinsically IR-transparent, free carriers in graphene interact with phonons in the underlying SiO₂ substrate by plasmon-phonon coupling,⁵² leading to enhancement and broadening of the near-field response even far away from the optical phonon of SiO₂ at 1128 cm⁻¹.

Experimental nano-FTIR spectra in Figure 4b of the two-layer graphene liquid cell on SiO₂ exhibit a prominent minimum near 1610 cm⁻¹. Bulk liquid water possesses a ca. 80 cm⁻¹ wide (fwhm) vibrational absorption at 1640 cm^{-1} due to the H–O–H bending mode.49 To simulate the s-SNOM response of the multilayer cell structure (Figure 5a), we employed the recently developed lightning rod model:⁵³ a quantitative model of the near-field interaction that goes beyond the simple dipole approximation of the tipsample system,⁹ incorporating a realistic probe geometry as well as electrodynamic effects (see Materials and Methods).

Figure 5b gives the predicted s-SNOM absorption spectrum without (red dashed curve) and with a 10 nm

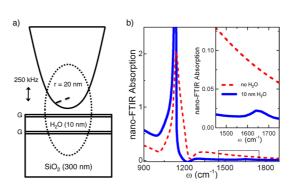


Figure 5. Simulation of the near-field response of a liquid cell: (a) schematic showing the lower end of the probing tip, to scale with a 10 nm water layer between two moderately doped monolayer graphene sheets on a SiO₂ substrate: (b) resulting near-field absorption spectra with (blue solid line) and without water (red dashed line). The inset shows a 20imesexpanded view of our experimental spectral range. Clearly, the presence of water in the cell should significantly modify the near-field response.

water layer (blue curve), as previous work has indicated that adequate adhesion from the top graphene layer likely requires less than 15-20 nm of water.²⁸ The strong graphene enhancement of the SiO₂ surface phonon polariton near $\omega = 1100 \text{ cm}^{-1}$ results in a large background in the s-SNOM response,⁵² which is significantly modified by the presence of water. The 20imesexpanded curves in the Figure 5b inset highlight the spectral range of our nano-FTIR measurements.

In comparison to what we observe experimentally (blue curve in Figure 4d), we note a deviation of the spectral position of the minimum. However, this is not surprising considering that bulk liquid H₂O optical constants were used in the model, which may not accurately reflect possible modifications to the infrared response of graphene-encapsulated water, specifically the energy and line shape of the bending mode of H_2O . Nanoconfined or interfacial water is anticipated to have different properties than bulk water^{54–57} and will be the subject of ongoing IR near-field studies. Nonetheless, despite interference from the substrate, we are able to verify the presence of a water layer in the graphene cell. Furthermore, our sensitivity to such a small amount of trapped water among other absorbing materials suggests that more detailed studies on biomacromolecules of sizes <20 nm are possible using a similar graphene liquid cell architecture.

Beyond the absorption of water, it is promising that the differential spectrum in Figure 4d (black curve) clearly demonstrates that protein vibrations of a single TMV can be spectroscopically recorded through the graphene layer. The nano-FTIR absorption data for TMV in Figure 4d clearly show two weak resonances at 1520 and 1660 cm⁻¹, indicative of the amide II and I bands,^{17,18} respectively, superimposed on the broad s-SNOM response from the graphene-water-SiO₂ subsystem. While the frequency position of the amide I band agrees very well with what has been previously

observed in nano-FTIR,¹⁸ the amide II absorption is redshifted from the expected resonance near 1550 cm^{-1} . At these lower energies there is a stronger s-SNOM contribution from the SiO₂ phonon and doped graphene, which could lead to spectral shifts of the much weaker absorption mode in the TMV. Lastly, in such a nanoconfined environment with a small amount of water, there is considerable hydrostatic pressure imposed by the graphene adhesion to the substrate. High enough local pressure would deform the viral capsid and might in this way change the vibrational spectrum and possibly the conformations of the proteins.²⁸ The large hydrostatic pressure from the graphene confinement can be tuned, by controlling the amount of excess water driven out during sample fabrication (see Supporting Information), to achieve more realistic biological environments in future structures.

Mica substrates were used for nano-IR imaging experiments because of plasmonic interference effects observed in doped graphene on SiO₂ with a CO₂ laser.⁵⁸ Conversely, sandwiched TMV samples on SiO₂ were more suitable for nanospectroscopy measurements due to the lack of vibrational absorptions associated with the substrate in the frequency range of interest. To realize the full potential of s-SNOM imaging and spectroscopy for quantitative characterization of biological materials in aqueous media, the liquid cell architecture can be optimized by selecting spectrally flat substrates such as Si or Au⁵⁹ or by using freestanding graphene nanosandwich cells transferred to TEM grids.²⁷ Additionally, future iterations of such graphene-based liquid cells could employ other isotopes of water to shift the bending mode vibration out of the protein frequency region.⁴⁹ Other 2D materials

besides graphene can also be explored for use as a nanofluidic lid enabling s-SNOM investigation, such as BN,⁶⁰ transition metal dichalcogenides (TMDs),⁶¹ or phosphorene.^{62,63} BN might be an especially attractive alternative by virtue of its hyperbolic dispersion and ability for subdiffractional focusing in the mid-infrared.⁶⁴ Finally, while we have demonstrated the general concept of near-field nanoimaging and spectroscopy through an ultrathin barrier, the underlying liquid cell structure can in principle be more sophisticated, utilizing advanced design principles from the fields of micro- and nanofluidics.

CONCLUSION

We have performed s-SNOM on TMV trapped together with water underneath large-area monolayer graphene. We were able to image individual virions through this graphene "lid", registering significant contrast in both amplitude and phase of the scattered near-field signal. We observed in the near-field response spectral features associated with trapped water, superimposed on a background contribution from the underlying SiO₂ substrate. Further we measured, on a single virion inside the graphene liquid cell, an absorption spectrum due to the amide I and II vibrational bands of virus proteins. Therefore, our nanospectroscopic probe was able to register nanometer quantities of water, suggesting studies of other macromolecular materials using a similar sample architecture. We have demonstrated that scattering-based near-field techniques are applicable to biological samples in aqueous media. Our work sets the stage for further nano-FTIR studies of biological and molecular materials, utilizing optimized liquid cells employing ultrathin lids made of graphene and other 2D nanomaterials.

MATERIALS AND METHODS

Graphene Liquid Cell Sample Preparation. Large-area graphene is grown from chemical vapor deposition (CVD) atop Cu foils. A lower methane-to-hydrogen ratio is used to give higher monolayer coverage. The method for trapping water with viruses beneath graphene is a wet transfer similar to what has been established previously for water alone.^{23,28} The graphene is transferred with poly(methyl methacrylate) (PMMA) onto either mica or SiO₂/Si substrates. Successive deionized water baths clean the graphene films from residual etchant contamination after the transfer process. There is some remaining water from the wet transfer process that is trapped between the graphene overlayer and the underlying substrate surface. The PMMA transfer scaffold is dissolved by overnight soaking in chloroform. For SiO₂/Si substrates, an additional bottom layer of graphene was used to prevent water from escaping through the oxide, creating a graphene "nanosandwich". Both mica and SiO₂-based substrates function as suitable s-SNOM-compatible liquid cells employing a graphene lid, as shown in Figure 1b. Further details about graphene liquid cell sample preparation can be found in the Supporting Information.

s-SNOM Measurements. For s-SNOM imaging and nano-FTIR measurements, we used a commercial near-field microscope (Neaspec GmbH) based on an AFM operating in tapping mode at a frequency of \sim 250 kHz, with PtIr-coated cantilevers

of nominal tip radius 20–30 nm (Arrow NCPt, NanoWorld AG) (Figure 1a). The single-frequency IR nanoimaging results were obtained by illuminating the tip with a CO₂ laser beam (Access Laser Co.). The scattered light from the tip is focused onto a HgCdTe detector (Kolmar Technologies). The s-SNOM detector signal comprises a far-field background and the local near-field interaction, the latter being modulated by the oscillating AFM tip. The far-field background is strongly suppressed by demodulating the s-SNOM signal at harmonics of the tip tapping frequency.⁹ Pseudoheterodyne detection⁶⁵ with the reference mirror oscillating at 300 Hz is used to recover both amplitude s_n and phase ϕ_{n} , where n is the harmonic order, typically 2 or 3.

For nano-FTIR experiments, we used a broadband coherent source (Lasnix, Germany) based on difference-frequency generation.^{11,12} The mid-infrared beam is generated by the nonlinear mixing of a train of near-IR Er-based fiber laser pulses with synchronous frequency-offset IR supercontinuum pulses (Toptica Photonics, Germany) inside a GaSe crystal.⁶⁶ The generated mid-IR spectrum spans 250–300 cm⁻¹ and is tunable between 650 and 2200 cm⁻¹. The asymmetric Michelson interferometer (Figure 1a) was used to record the s-SNOM signal, by scanning the reference mirror over up to a 1500 μ m travel range.¹² Nano-FTIR spectra are typically collected in 60 s at 8 cm⁻¹ resolution and normalized to those from a Au reference. Nano-FTIR absorption is computed as Im[s_ne^{iφ_n}] = s_n sin ϕ_n .⁴⁵



s-SNOM Response Simulations. Near-field scattering amplitudes were calculated using the lightning rod model.⁵³ The model (Figure 5a) assumes a hyperboloid to approximate the conical structure of the AFM tip with apex radius r = 20 nm and taper angle $\sim 20^{\circ}$. For the simulations, we used tabulated H₂O and SiO₂ optical constants taken from the literature.^{48,67} For the top and bottom graphene layers, we assume a moderate doping level denoted by a chemical potential, $\mu = 2000$ cm⁻¹, and apply a form for the optical conductivity calculated within the random phase approximation.⁵²

Conflict of Interest: The authors declare the following competing financial interest(s): F. Keilmann is co-founder of Neaspec and Lasnix, producers of the s-SNOM and infrared source used in this study. The remaining authors declare no competing financial interests.

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Details of sample growth and preparation; SEM and AFM characterization (PDF)

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